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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/786,797	06/25/2001	Preeti Lal	PF-0594 USN	5115

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INCYTE GENOMICS
3160 Porter Drive
Palo Alto, CA 94304

EXAMINER

STEADMAN, DAVID J

ART UNIT	PAPER NUMBER
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1652

DATE MAILED: 08/20/2003

13

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/786,797

Applicant(s)

LAL ET AL.

Examiner

David J Steadman

Art Unit

1652

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) ____ is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☒ Claim(s) 1-20 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on ____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Status of the Application

[1] Claims 1-20 are pending in the application.

[2] The specification is objected to as applicant has not complied with one or more conditions for receiving the benefit of an earlier filing date under 35 U.S.C. 119(e) as follows: An application in which the benefits of an earlier application are desired must contain a specific reference to the prior application(s) in the first sentence of the specification (37 CFR 1.78).

If applicant desires priority under 35 U.S.C. 119(e) based upon a previously filed copending application, specific reference to the earlier filed application must be made in the instant application. This should appear as the first sentence of the specification following the title, preferably as a separate paragraph. If a parent application has become abandoned, the expression "now abandoned" should follow the filing date of the parent application.

[3] It is noted that applicant claims domestic priority under 35 USC 119(e) to provisional applications 60/172,226 and 60/131,321. However, the declaration indicates that domestic priority under 35 USC 119(e) is claimed ONLY to provisional application 60/131,321 (see page 2 of the declaration).

Lack of Unity

[4] Lack of unity is required under 35 U.S.C. 121 and 372. This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1:

Groups I-XV, claims 1, 2, 14, 15, and 19, drawn to the special technical feature of a substantially purified polypeptide, a variant thereof, a method for making a polypeptide, a pharmaceutical composition, and the first claimed method of use, i.e., a method for treating or preventing a disorder by administering a pharmaceutical composition. Group I recites SEQ ID NO:2, Group II recites SEQ ID NO:3, Group III recites SEQ ID NO:4,... ..and Group XV recites SEQ ID NO:16.

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Groups XVI-XXX, claims 3-6 and 9-13, drawn to the special technical feature of an isolated and purified polynucleotide, an expression vector, a host cell, . Group XVI recites a nucleic acid encoding SEQ ID NO:2 including SEQ ID NO:18, Group XVII recites a nucleic acid encoding SEQ ID NO:3 including SEQ ID NO:19, Group XVIII recites a nucleic acid encoding SEQ ID NO:4 including SEQ ID NO:20,... ..and Group XXX recites a nucleic acid encoding SEQ ID NO:16 including SEQ ID NO:32.

Groups XXXI-XLV, claim 16, drawn to the special technical feature of a purified antibody. Group XXXI recites SEQ ID NO:2, Group XXXII recites SEQ ID NO:3, Group XXXIII recites SEQ ID NO:4,... ..and Group XLV recites SEQ ID NO:16.

Groups XLVI-LX, claim 17, drawn to the special technical feature of a purified agonist. Group XLVI recites SEQ ID NO:2, Group XLVII recites SEQ ID NO:3, Group XLVIII recites SEQ ID NO:4,... ..and Group LX recites SEQ ID NO:16.

Groups LXI-LXXV, claim 18, drawn to the special technical feature of a purified antagonist. Group LXI recites SEQ ID NO:2, Group LXII recites SEQ ID NO:3, Group LXIII recites SEQ ID NO:4,... ..and Group LXXV recites SEQ ID NO:16.

Groups LXXVI-XC, claims 7 and 8, drawn to the special technical feature of a method for detecting a polynucleotide. Group LXXVI recites a nucleic acid encoding SEQ ID NO:2, Group LXXVII recites a nucleic acid encoding SEQ ID NO:3, Group LXXVIII recites a nucleic acid encoding SEQ ID NO:4,... ..and Group XC recites a nucleic acid encoding SEQ ID NO:16.

Groups XCI-CV, claim 20, drawn to the special technical feature of a method for treating or preventing a disorder by administering an antagonist. Group XCI recites an antagonist of SEQ ID NO:2, Group XCII recites an antagonist of SEQ ID NO:3, Group XCIII recites an antagonist of SEQ ID NO:4,... ..and Group CV recites an antagonist of SEQ ID NO:16.

[5] The inventions listed as Groups I-CV do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical feature for the following reasons:

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According to PCT Rule 13.2, unity of invention exists only when the shared same or corresponding technical feature is a contribution over the prior art. The technical features of Groups I-LXXV are a polypeptide, a polynucleotide, an antibody, an agonist, and an antagonist, respectively, which are shown to lack novelty or inventive step because the polypeptide (particularly the polypeptide of claim 1), the polynucleotide (particularly the polynucleotides of claims 3 and 9), the antibody, the agonist, and the antagonist of Groups I-LXXV, read on *any* polypeptide, polynucleotide, antibody, agonist, or antagonist and do not make these technical features contributions over the prior art.

[6] Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

[7] Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Steadman, whose telephone number is (703) 308-3934. The Examiner can normally be reached Monday-Friday from 7:00 am to 5:00 pm. If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Ponnathapura Achutamurthy, can be reached at (703) 308-3804. The FAX number for submission of official papers to Group 1600 is (703) 308-4242. Draft or informal FAX communications should be directed to (703) 746-5078. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Art Unit receptionist whose telephone number is (703) 308-0196.

David J. Steadman
Patent Examiner
Art Unit 1652

RS 08/17/03